



Filing ID #10022509

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Rufus Gifford  
**Status:** Congressional Candidate  
**State/District:** MA03

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2018  
**Filing Date:** 05/15/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America Account #1 ⇒ AbbVie Inc. (ABBV) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ American Water Works Company, Inc. (AWK) [ST]		None	Dividends	None	\$1 - \$200
Bank of America Account #1 ⇒ Amgen Inc. (AMGN) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Bank of America Corporation (BAC) [ST]		\$500,001 - \$1,000,000	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America Account #1 ⇒ Bank of America Money Market Savings [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Becton, Dickinson and Company (BDX) [ST]		None	Dividends	None	\$1 - \$200
Bank of America Account #1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$15,001 - \$50,000	Dividends	None	None
Bank of America Account #1 ⇒ BlackRock, Inc. (BLK) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Bank of America Account #1 ⇒ Broadcom Limited - Ordinary Shares (AVGO) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Bank of America Account #1 ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Bank of America Account #1 ⇒ Clearbridge Small Cap Growth [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Bank of America Account #1 ⇒ Columbia AMT-Free Intermediate Bond Fund [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
Bank of America Account #1 ⇒ Costco Wholesale Corporation (COST) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Deere & Company (DE) [ST]		None	Dividends	None	\$1 - \$200
Bank of America Account #1 ⇒ Delaware Emerging Mkts Fund [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Bank of America Account #1 ⇒ Doubleline Total Return BD Fund [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Bank of America Account #1 ⇒ Emerson Electric Company (EMR) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ EOG Resources, Inc. (EOG) [ST]		None	Dividends	None	\$1 - \$200
Bank of America Account #1 ⇒		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$1,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Exxon Mobil Corporation (XOM) [ST]					\$2,500
Bank of America Account #1 ⇒ General Electric Company (GE) [ST]		None	Dividends	None	\$201 - \$1,000
Bank of America Account #1 ⇒ Home Depot, Inc. (HD) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Honeywell International Inc. (HON) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ International Business Machines Corporation (IBM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Bank of America Account #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]		\$25,000,001 - \$50,000,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ iShares Russell 2000 ETF (IWM) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Bank of America Account #1 ⇒ iShares Russell Mid-Cap ETF (IWR) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ McDonald's Corporation (MCD) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Bank of America Account #1 ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Mondelez International, Inc. - Class A (MDLZ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒		None	Dividends	None	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Occidental Petroleum Corporation (OXY) [ST]					
Bank of America Account #1 ⇒ Pepsico, Inc. (PEP) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Philip Morris International Inc (PM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Principal Midcap Blend Fund [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Bank of America Account #1 ⇒ Procter & Gamble Company (PG) [ST]		None	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ QUALCOMM Incorporated (QCOM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Schlumberger N.V. (SLB) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ SPDR S&P 500 (SPY) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Starbucks Corporation (SBUX) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Target Corporation (TGT) [ST]		None	Dividends	None	\$1 - \$200
Bank of America Account #1 ⇒ The Kraft Heinz Company (KHC) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ United Parcel Service, Inc. (UPS) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Bank of America Account #1 ⇒ Walt Disney Company (DIS) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Bank of America Account #1 ⇒ Wells Fargo & Company (WFC) [ST]		None	Dividends	None	\$1 - \$200
Gifford 2010 Family Trust ⇒ AbbVie Inc. (ABBV) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Amgen Inc. (AMGN) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Gifford 2010 Family Trust ⇒ Apple Inc. (AAPL) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Bank of America Corporation (BAC) [ST]		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
Gifford 2010 Family Trust ⇒ BB&T Corporation (BBT) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ BlackRock, Inc. (BLK) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ BP p.l.c. (BP) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Coca-Cola Company (KO) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Gifford 2010 Family Trust ⇒ Corning Incorporated (GLW) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Gifford 2010 Family Trust ⇒ DowDuPont Inc. (DWDP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Gifford 2010 Family Trust ⇒ Eli Lilly and Company (LLY) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Gifford 2010 Family Trust ⇒ Emerson Electric Company (EMR) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Gifford 2010 Family Trust ⇒ Exxon Mobil Corporation (XOM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Federated Government Obligations Fund Premier Class [BA]		\$1 - \$1,000	Dividends	\$1 - \$200	\$1 - \$200
Gifford 2010 Family Trust ⇒ Fidelity Government Portfolio Institutional Class [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Gifford 2010 Family Trust ⇒ Home Depot, Inc. (HD) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Intel Corporation (INTC) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ International Business Machines Corporation (IBM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Gifford 2010 Family Trust ⇒ International Paper Company (IP) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ iShares Russell 2000 ETF (IWM) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ iShares Russell Mid-Cap ETF (IWR) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Gifford 2010 Family Trust ⇒ iShares Select Dividend ETF (DVY) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Gifford 2010 Family Trust ⇒ John Hancock life insurance policy [WU]		\$50,001 - \$100,000	None		
Gifford 2010 Family Trust ⇒ Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Gifford 2010 Family Trust ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Kimberly-Clark Corporation (KMB) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Gifford 2010 Family Trust ⇒ Lockheed Martin Corporation (LMT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ MassMutual life insurance policy [WU]		\$15,001 - \$50,000	None		
Gifford 2010 Family Trust ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Metlife life insurance policy [WU]		\$1,001 - \$15,000	None		
Gifford 2010 Family Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Pepsico, Inc. (PEP) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Principal Life insurance policy [WU]		\$15,001 - \$50,000	None		
Gifford 2010 Family Trust ⇒ Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Renaissance Access LLC CL T (B)(o) [HE]		\$500,001 - \$1,000,000	None		
Gifford 2010 Family Trust ⇒ Texas Instruments Incorporated (TXN) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ The Kraft Heinz Company (KHC) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Gifford 2010 Family Trust ⇒ U.S. Bancorp (USB) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ United Parcel Service, Inc. (UPS) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2010 Family Trust ⇒ Walt Disney Company (DIS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Gifford 2012 Family Trust ⇒ Fidelity Government Portfolio Institutional Class [BA]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Gifford 2012 Family Trust ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Gifford 2012 Family Trust ⇒ iShares Russell 2000 ETF (IWM) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Gifford 2012 Family Trust ⇒ iShares Russell Mid-Cap ETF (IWR) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Gifford 2012 Family Trust ⇒ iShares Select Dividend ETF (DVY) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Gifford 2012 Family Trust ⇒ Vanguard S&P 500 ETF (VOO) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	None
MassMutual Life Insurance on CKG [WU]		\$100,001 - \$250,000	None		
Residential Real Estate [RP]		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	\$50,001 - \$100,000
LOCATION: Los Angeles, CA, US					
Rufus - US Trust Money Market Savings [BA]		\$100,001 - \$250,000	None		
Rufus - US Trust Wealth Management B of A Interest Checking [BA]		\$1,001 - \$15,000	None		



Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Stephen - DeVincent Realty Trust, 12% Interest [OT]		\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000	\$50,001 - \$100,000
DESCRIPTION: Realty Trust owns rental property at 324 Massachusetts Ave., Arlington, MA					
Stephen - US Trust Money Market Savings [BA]		\$1,001 - \$15,000	None		
Stephen - Wealth Management B of A Interest Checking [BA]		\$15,001 - \$50,000	None		
Stephen--DeVincent Associates Limited Partnership, 4% Interest [OT]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
DESCRIPTION: Partnership owns rental properties: 123 North Beacon St., Watertown, MA 115 North Beacon St., Watertown, MA 157 West Central St., Natick, MA Stephen may have received an additional interest from his father's estate but that information is unavailable at this time.					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Finance Norway	Speaking Engagement	\$25,000.00	N/A
Tikko (speaker's bureau)	Speaking Engagements	N/A	\$91,000.00
Dagens Bog	Book Sales	N/A	\$5,620.00
Business Lolland Falster	Speaking Engagement	N/A	\$7,000.00
DVS Horsens	Speaking Engagement	N/A	\$7,000.00
DSV Copenhagen	Speaking Engagement	N/A	\$7,000.00
Leo Pharma	Speaking Engagement	N/A	\$7,000.00
FOF Hillerod	Speaking Engagement	N/A	\$7,000.00
LOF Graasten	Speaking Engagement	N/A	\$7,000.00
FL Smidth	Speaking Engagement	N/A	\$7,000.00
Vestjvsk Lederforum	Speaking Engagement	N/A	\$7,000.00
Falck Aarhus	Speaking Engagement	N/A	\$7,000.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Bank of America	August 2009	Home Loan Account	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Bank of America Account #1  
LOCATION: US
- Gifford 2010 Family Trust  
DESCRIPTION: 25% of assets and income reported
- Gifford 2012 Family Trust  
DESCRIPTION: 25% of assets and income reported

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Rufus Gifford , 05/15/2018